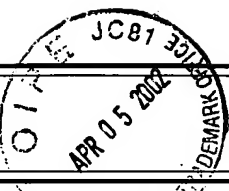


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**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.

In Re Application Of:  
**Ghamaty**

Serial No.  
**10/021,097**

Filing Date  
**12/12/2001**

Examiner  
**not assigned**

Group Art Unit  
**2811**

Title: **Thermoelectric Device with Si/SiC Superlattice N-Legs**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

**Signed Declaration and Surcharge (\$65),  
Copy of Notice to File Missing Parts of Nonprovisional Application of 1/23/02, and  
6 sheets of drawings with corrected margins.**

in the above identified application.

☐ No additional fee is required.

☒ A check in the amount of **\$65.00** is attached.

☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **200678**  
as described below. A duplicate copy of this sheet is enclosed.

☐ Charge the amount of

☒ Credit any overpayment.

☒ Charge any additional fee required.

Signature

Dated: **3/25/02**

**John R. Ross, III  
Regis. No. 43060  
Ross Patent Law Office  
P.O. Box 2138  
Del Mar, CA 92014  
858-755-3122  
FAX: 858-755-3122**

I certify that this document and fee is being deposited  
on **3/25/02** with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

Signature of Person Mailing Correspondence

**John R. Ross, III**

Typed or Printed Name of Person Mailing Correspondence

CC:



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/021,097	12/12/2001	Saied Ghamaty	

CONFIRMATION NO. 8977

## FORMALITIES LETTER



\*OC00000007349472\*

Ross Patent Law Office  
P.O. Box 2138  
Del Mar, CA 92014

Date Mailed: 01/23/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/08/2002 SZEWDIE1 00000007 10021097

FILED UNDER 37 CFR 1.53(b)

01 FC:205

65.00 OP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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